



Perlegen Sciences, Inc.  
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JAN 25 2005

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**Date:** January 25, 2005

**Firm:** USPTO

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NUMBER OF PAGES INCLUDING THIS COVER: 5

Certification of Facsimile Transmission

Perlegen's Docket No.: 100/1046-20

Re:

Applicant: PERLEGEN SCIENCES, INC.  
Application No.: 10/786,475  
Inventor(s): Konvicka

Enclosed, please find the following documents:

1. Transmittal Form
2. Supplemental Information Disclosure Statement
3. Form 1449

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PTO/SB/21 (02-04)  
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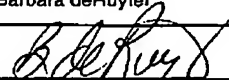
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/788,475	
	Filing Date	February 24, 2004	
	First Named Inventor	Karel Konvicka	
	Art Unit	2857	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	100/1048-20

JAN 25 2005

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Deana A. Arnold, Ph.D.
Signature	
Date	January 25, 2005

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Typed or printed name	Barbara deRuyter		
Signature		Date	January 25, 2005

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Date: January 25, 2005

Signed: Barbara deRuyter  
Barbara deRuyter

JAN 25 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
KONVICKA

Application No.: 10/786,475

Filed: February 24, 2004

For: Analysis methods for individual genotyping

Examiner: Unknown

Art Unit: 2857

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 AND §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

KONVICKA, Karel  
Page 2

Attorney Docket No100/1046-20

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --  
☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --  
☒ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --  
☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.


☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and/or charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-2043 (Docket No. 100/1046-20).

Respectfully submitted,

PERLEGEN SCIENCES, INC.

Dated: January 25, 2005

By:



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PTO/SB/03A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/786,475
Filing Date	February 24, 2004
First Named Inventor	Karel Konvicka
Art Unit	2857
Examiner Name	Unknown
Attorney Docket Number	100/1046-20

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,858,659	1-12-1999	Sapolsky, et al.	
		US- 6,287,776	9-11-2001	Hefti	
		US- 6,340,568	1-22-2002	Hefti	
		US- 6,303,301	10-16-2001	Mack	
		US- 6,479,238	11-12-2002	Blumenfeld, et al.	
		US- 6,291,182	9-18-2001	Schork, et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> * Number <sup>4</sup> * Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>

Examiner  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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